|   | Application No.  | Applicant(s)  |  |
|---|--|---|--|
| Notice of Allowability  | 09/847,534   | NOVIK ET AL.  |  |
|   | Examiner   | Art Unit  |  |
|   | Lewis A. Bullock, Jr.  | 2195  |  |
|   | Lewis A. Bullock, Jr.  | 2190  |  |
| The MAILING DATE of this communication apper<br>All claims being allowable, PROSECUTION ON THE MERITS IS<br>herewith (or previously mailed), a Notice of Allowance (PTOL-85)<br>NOTICE OF ALLOWABILITY IS NOT A GRANT OF PATENT RI<br>of the Office or upon petition by the applicant. See 37 CFR 1.313 | (OR REMAINS) CLOSED in thi<br>or other appropriate communic<br>IGHTS. This application is subj | s application. If not included ation will be mailed in due course. THIS |  |
| 1. This communication is responsive to <u>amendment filed April</u>   | <u>il 13, 2007</u> .   |   |  |
| 2. X The allowed claim(s) is/are <u>1, 3-12, 14-25, 27-31, 34, 35, 3</u>  | <del>37-43</del> .   |   |  |
| 3. ☐ Acknowledgment is made of a claim for foreign priority un  a) ☐ All b) ☐ Some* c) ☐ None of the:   |  | ).  |  |
| Certified copies of the priority documents have  Outlined action of the priority documents have   |  |   |  |
| 2. Certified copies of the priority documents have  |  |   |  |
| 3. Copies of the certified copies of the priority do  | cuments have been received in  | this national stage application from the                                |  |
| International Bureau (PCT Rule 17.2(a)).  |  |   |  |
| * Certified copies not received:  |  |   |  |
| Applicant has THREE MONTHS FROM THE "MAILING DATE" noted below. Failure to timely comply will result in ABANDONM THIS THREE-MONTH PERIOD IS NOT EXTENDABLE.   |  | eply complying with the requirements                                    |  |
| <ol> <li>A SUBSTITUTE OATH OR DECLARATION must be submi<br/>INFORMAL PATENT APPLICATION (PTO-152) which give</li> </ol>   | itted. Note the attached EXAMI<br>es reason(s) why the oath or de                              | NER'S AMENDMENT or NOTICE OF claration is deficient.                    |  |
| 5. CORRECTED DRAWINGS ( as "replacement sheets") mus  | st be submitted.   |   |  |
| (a) ☐ including changes required by the Notice of Draftspers  | on's Patent Drawing Review ( F   | PTO-948) attached   |  |
| 1)  hereto or 2)  to Paper No./Mail Date  |  |   |  |
| (b) ☐ including changes required by the attached Examiner's<br>Paper No./Mail Date  | s Amendment / Comment or in  | the Office action of  |  |
| Identifying indicia such as the application number (see 37 CFR 1 each sheet. Replacement sheet(s) should be labeled as such in the  | .84(c)) should be written on the d<br>he header according to 37 CFR 1                          | rawings in the front (not the back) of .121(d).                         |  |
| <ol> <li>DEPOSIT OF and/or INFORMATION about the deposit<br/>attached Examiner's comment regarding REQUIREMENT</li> </ol>   |  |   |  |
| Attachment(s)   |  |   |  |
| 1. Notice of References Cited (PTO-892)   | 5. Notice of Inform  | nal Patent Application  |  |
| 2.  Notice of Draftperson's Patent Drawing Review (PTO-948)   | 6. ⊠ Interview Sumr  | ·   |  |
| Information Disclosure Statements (PTO/SB/08),     Paper No./Mail Date  | Paper No./Ma   | Paper No./Mail Date 7. ⊠ Examiner's Amendment/Comment                   |  |
| Examiner's Comment Regarding Requirement for Deposit of Biological Material   | 8. 🛭 Examiner's Sta  | tement of Reasons for Allowance   |  |
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|   |  | LEWIS A. BULLOCK, JR. PRIMARY EXAMINER                                  |  |

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## **EXAMINER'S AMENDMENT**

1. An examiner's amendment to the record appears below. Should the changes and/or additions be unacceptable to applicant, an amendment may be filed as provided by 37 CFR 1.312. To ensure consideration of such an amendment, it MUST be submitted no later than the payment of the issue fee.

Authorization for this examiner's amendment was given in a telephone interview with Daniel T. McGinnity on June 20, 2007.

The application has been amended as follows:

## SEE ATTACHMENT.

2. The following is an examiner's statement of reasons for allowance: The claims are allowable for at least the following reasons: The cited claims detail using a state machine for event correlation wherein the state machine is implemented using a schema which defines state classes and permits the use of a variety of different programming languages by developers wherein a update consumer class object separate from the state machine defines transition operations for the state machine in the particular language of the state machine, in lieu of when the state machine is defined, and such that when an event to which the update consumer has subscribed occurs the transition operations are invoked. All of the prior art at best, details a state machine programmed in a particular type of programming language by a programmer

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such that transitions of the state machine which are developed at the same time as the state machine, update the state machine by transitioning between states. Some of the references previously cited indicate that the state machine is capable of being implemented in an object oriented language wherein it is well known in the art that subroutines are typically programmed separate from the main program, e.g. each subroutine is implemented in a separate class wherein the main class invokes the separate classes. None of the cited references detail 1) that the state machine is implemented using a schema which defines state classes and permits the use of a variety of different programming languages and 2) the use of an update consumer that defines transition operations for the state machine in the particular language of the state machine in lieu of when the state machine is defined, wherein the transition operations are defined for the state machine separate from the time when the state machine was defined such that when an event to which the update consumer has subscribed occurs, the state machine invokes the update consumer which invokes the transition operations. None of the cited references disclose this type of programming for updating a state machine and how a state machine is created and therefore the claims are allowable over the prior art of record.

Any comments considered necessary by applicant must be submitted no later than the payment of the issue fee and, to avoid processing delays, should preferably accompany the issue fee. Such submissions should be clearly labeled "Comments on Statement of Reasons for Allowance."

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Lewis A. Bullock, Jr. whose telephone number is (571) 272-3759. The examiner can normally be reached on Monday-Friday, 8:30 a.m. - 5:00 p.m..

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Meng An can be reached on (571) 272-3756. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

June 22, 2007

LEWIS A. BULLOCK, JR.